

Overall process Internalised Settlement reporting

Source: Technical Guidance for Settlement Internalisers – Report Validation Rules, ESMA, 31 July 2019 | ESMA65-8-6561, page 9-10.

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Figure 2 depicts the flow of Internalised Settlement data originating from branches of a Settlement Internaliser operating within the EU in a member state different from its head office. In this case, the data flow starts from the branches, continues to the head office established and operating within the EU, to the Competent Authority of the head office, concluding to ESMA.

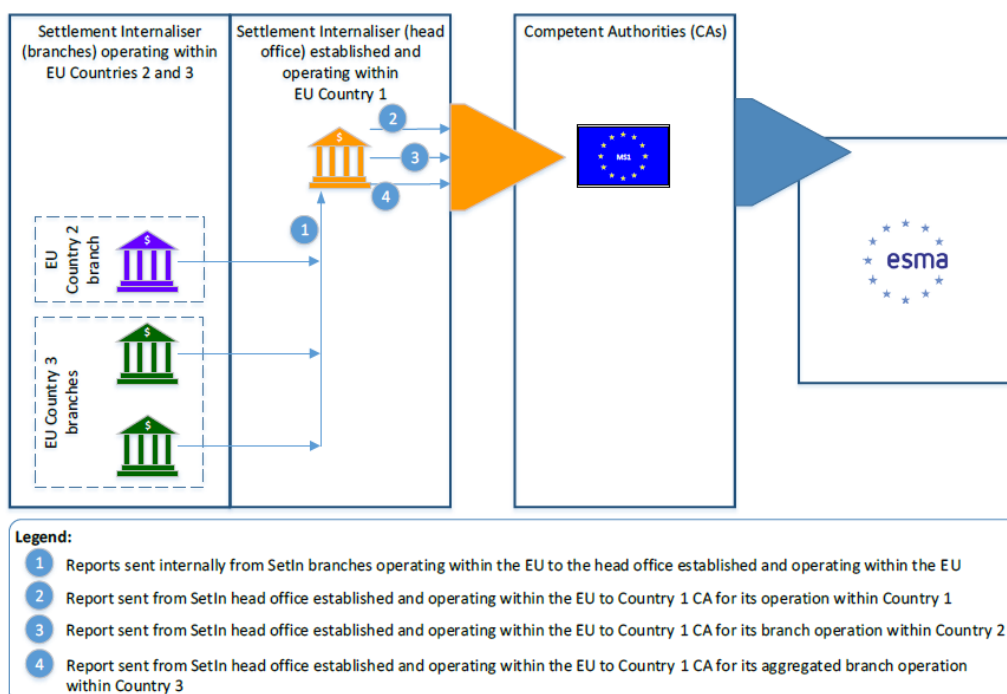


Figure 2: Submission of Internalised Settlement reports by EU-established Settlement Internalisers, having branches operating within the EU