

**Marketing of AIF to professional investors in another country within the EEA (Chapter 6, section 3 of AIFMA)**

*Last reviewed: 2021-07-23*

<p>a) Notification and prior approval of marketing.</p>	<p>A Swedish AIF manager who has authorisation pursuant to Chapter 3, section 1 of AIFMA, pursuant to Chapter 6, section 3 of AIFMA, may market to professional investors in another country in the EEA participations or shares in an alternative investment fund that it manages.</p> <p>The AIF manager must notify FI before the marketing commences.</p>
<p>b) Notification and prior approval of marketing communications.</p>	<p>The notification must contain</p> <ul style="list-style-type: none"> <li>• A business plan with information about which fund will be marketed, where it is established, and in which countries the marketing will take place,</li> <li>• the fund rules, articles of association or equivalent documents,</li> <li>• information about the fund’s depository,</li> <li>• information about where the master fund is established, if the marketed fund is a feeder fund to an alternative investment fund,</li> <li>• a prospectus pursuant to Chapter 10, section 1 of AIFMA, and</li> <li>• information about the arrangements adopted for the marketing and, where applicable, the measures adopted to prevent marketing to retail investors.</li> <li>• The notification must also include the appendices: Notification letter, Intention to market and Checklist (under the heading “Read more below” there are two links= <a href="https://www.fi.se/sv/marknad/sok-tillstand/fond-alternativ-investeringsfond/svenska-aif-forvaltare/">https://www.fi.se/sv/marknad/sok-tillstand/fond-alternativ-investeringsfond/svenska-aif-forvaltare/</a>).</li> </ul> <p>The notification must be in English.</p>
<p>c) Marketing to retail or to professional investors.</p>	<p>Professional investors.</p>
<p>d) Additional requirements applicable in particular to the marketing of certain categories of AIFs that exist under national law.</p>	

<p>e) Any other requirements for the marketing of AIFs that the competent authority considers appropriate.</p>	<p>The AIF manager must report, through an authorised person, via Finansinspektionen's Reporting Portal; please go to <a href="https://www.fi.se/sv/rapportera/rapporteringsportalen/">https://www.fi.se/sv/rapportera/rapporteringsportalen/</a>. For information about the deadlines for the reporting, please go to <a href="https://www.fi.se/sv/marknad/rapportering/fond/">https://www.fi.se/sv/marknad/rapportering/fond/</a>. The reporting obligation enters into effect when the AIF manager has held the relevant authorisation for one full calendar quarter.</p>
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