

Finansinspektionen's Regulations

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FFFS 2008:12

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Regulations regarding amendments to Finansinspektionen's regulations regarding reporting of liquidity risk (FFFS 2007:3);

decided on 30 June 2008.

Finansinspektionen prescribes pursuant to Chapter 5, section 2, subsection 9 of the Banking and Financing Business Ordinance (2004:329); Chapter 6, section 1, subsection 60 of the Securities Market (Trading and Services) Ordinance (2007:572) and section 32, subsection 33 and sections 39–41 of the Capital Adequacy and Large Exposures Ordinance (2006:1533) that Chapter 1, sections 1–3 of Finansinspektionen's regulations regarding reporting of liquidity risk (FFFS 2007:3) shall have the following wording:

Chapter 1

Section 1 The regulations specify the information concerning liquidity risk that the following firms shall report to Finansinspektionen:

1. a credit institution in accordance with the definition in Chapter 1, section 3, subsection 12 of the Capital Adequacy and Large Exposures Act (2006:1371) and a securities company in accordance with the definition in Chapter 1, section 5, subsection 26 of the Securities Market Act (2007:528),
2. a branch office of a foreign credit institution as set out in Chapter 4, section 4 of the Banking and Financing Business Act (2004:297) and a branch office of a foreign firm conducting securities business pursuant to Chapter 4, section 4 of the Securities Market Act, and
3. a financial group in accordance with the definition in Chapter 9, section 1 and section 2, first paragraph, points 1 and 2 of the Capital Adequacy and Large Exposures Act.

A firm pursuant to points 1 and 2 which is included in a financial group shall not report information on its own liquidity risk to Finansinspektionen if the information is covered by the reporting for the group.

Section 2 The regulations only apply to firms pursuant to section 1 that have a balance sheet total of over SEK 5 billion.

Section 3 A firm shall report to Finansinspektionen information regarding liquidity risk as of 31 March, 30 June, 30 September and 31 December.

Which firm shall report information regarding liquidity risk for the financial group pursuant to the first paragraph is set forth in Chapter 10, section 12 of the Capital Adequacy and Large Exposures Act and Chapter 4, section 2 of

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Finansinspektionen's Regulations and General guidelines (FFFS 2007:1) regarding capital adequacy and large exposures. |

Finansinspektionen shall have received the report at the latest by 30 April, 10 August, 31 October and 31 January. If the balance sheet date coincides with the annual accounting date, Finansinspektionen shall receive the information no later than the twentieth day of the second month following the balance sheet date.

The firm shall submit the information via Finansinspektionen's website, www.fi.se. See the form in the *appendix*.

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1. These regulations shall enter into force on 1 August 2008.
 2. The regulations shall apply for reporting as of the fourth quarter of 2008.

ERIK SAERS

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