

Finansinspektionen's Regulatory Code

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FFFS 2010:12

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Regulations amending Finansinspektionen's regulations and general guidelines (FFFS 2007:5) regarding disclosure of information concerning capital adequacy and risk management;

decided on 6 December 2010.

Finansinspektionen prescribes¹ pursuant to Chapter 13, section 1, lines 32 and 35 of the Capital Adequacy and Large Exposures Act (2006:1371) and section 32, lines 32 and 35 of the Capital Adequacy and Large Exposures Ordinance (2006:1533) as regards Finansinspektionen's regulations and general guidelines (FFFS 2007:5) regarding the disclosure of information concerning capital adequacy and large exposures

in part that current Chapter 10 shall be designated Chapter 11,
in part that Chapter 1, sections 1 and 2, Chapter 2, sections 1, 2 and 5, Chapter 3, section 3, Chapter 4, section 2, Chapter 5, section 23, Chapter 6, section 3 and Chapter 7, section 3 shall have the following wording,
in part that two new sections shall be introduced into the regulations, Chapter 8, section 4 and Chapter 11, section 3, and a new Chapter 10 shall have the following wording.

Chapter 1

Section 1 These regulations and guidelines shall be applied by firms that disclose information concerning capital adequacy and risk management in accordance with the Capital Adequacy and Large Exposures Act (2006:1371) (Capital Adequacy Act).

Where stated in the regulations and general guidelines that a firm shall disclose information, the information shall be disclosed for the financial group or, where appropriate the firm, in accordance with that prescribed in Chapter 8, section 1 and Chapter 9, section 7 of the Capital Adequacy Act. The information prescribed in the provisions in Chapter 10 need only be disclosed for

¹ Cf. Directive 2009/111/EC of the European Parliament and of the Council of 16 September 2009 amending Directives 2006/48/EC, 2006/49/EC and 2007/64/EC as regards banks affiliated to central institutions, certain own funds items, large exposures, supervisory arrangements and crisis management (EUT L 302, 17.11.2009, pp. 97–119, Celex 32009L0111) and Commission Directive 2009/83/EC of 27 July 2009 amending certain Annexes to Directive 2006/48/EC of the European Parliament and of the Council as regards technical provisions concerning risk management (EUT L 196, 28.07.2009, pp. 14–21, Celex 32009L0083).

N.B. This correction sheet replaces p. 1 of the previously issued FFFS 2010:12. The correction refers to the regulation's heading.

- the part of a financial group as defined in Chapter 9, section 1, line 1 of the Capital Adequacy Act consisting of an institution and subsidiaries that are institutions or equivalent foreign undertakings, or where applicable,
- a firm in accordance with that set out in Chapter 8, section 1 of the Capital Adequacy Act.

Chapter 8, section 2 of the Capital Adequacy Act contains provisions concerning the information a firm does not need to disclose.