Finansinspektionen's Regulatory Code

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This translation is furnished solely for information purposes. Only the printed version of the regulation in Swedish applies for the application of the law.

Regulations amending Finansinspektionen's regulations (FFFS 2013:9) regarding Swedish UCITS;

decided on 22 February 2022.

Finansinspektionen prescribes pursuant to section 18, point 12 of the Swedish UCITS Ordinance (2013:588) that Chapter 22, section 3 of Finansinspektionen's regulations (FFFS 2013:9) regarding Swedish UCITS shall have the following wording.

Chapter 22

Section 3 A Swedish management company that as part of its operations gives advice to customers regarding fund units in cases other than that set out in Chapter 7, section 1, first paragraph, point 3 of the Swedish UCITS Act (2004:46), when providing such services, shall fulfil the requirements set out in Articles 27, 33, 37, 52, 54 and 55 of the delegated regulation for MiFID II.

The Swedish management company, when providing such services in accordance with the first paragraph, shall also apply Chapter 4 and Chapter 7, sections 1–5 and 8–21 of Finansinspektionen's regulations (FFFS 2017:2) regarding investment services and activities.

These regulations shall enter into force on 08 March 2022.

ERIK THEDÉEN

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FFFS 2022:3

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