



INSTRUCTIONS

FIDAC

FINANSINSPEKTIONEN

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FIDAC Reporting System

Finansinspektionen Data Collection (FIDAC) is Finansinspektionen's (FI) new reporting system. FIDAC will replace several of the existing reporting systems for both periodic and event-driven reporting.

Reports are submitted in different technical formats depending on the information in the report. FIDAC can receive uploads in a few different formats, including: XBRL, XML and JSON. Some reporting modules also have forms that can be used for manual entry.

FIDAC has its own heading on the 'Reporting to FI' page, via 'E-services and forms', at www.fi.se. This page contains information about the system and a link to the log-in page.

Logging in

Anyone submitting a report must be identified in Finansinspektionen's systems. This identification step requires that users register for an account in the portal. We record all activity in our systems and save the data.

In order to submit a report via FIDAC, users must register for an account in the Reporting Portal and be delegated authorisation from a registered signatory. The system will identify and grant authorisation to natural persons who interact with FI on behalf of themselves or third parties. Legal persons will be identified via natural persons.

The link to the Authorisation Administration tool can be accessed via Finansinspektionen's website, <https://www.fi.se/en/e-services-and-forms/reporting-to-fi/>

All traffic between users' computers and FI's system will be encrypted for security purposes.

AUTHORISATIONS

Authorisations are administered the same way as they were before, via "Administer authorisations" in the Reporting Portal. Different authorisations provide access to specific data collections.

General Administration in FIDAC

This section describes FIDAC's structure and how a user, once logged in, can navigate through the system.

After logging in to FIDAC, users will see a menu on the left and an overview of available data collections. The menu on the left will switch between scheduled and unscheduled data collections, and lists any unexpected reporting obligations.

The overview displays available data collections and the users name. Click the FI logo to return to the overview.

The screenshot shows the 'Reports' page in FIDAC. On the left is a navigation menu with 'Portal', 'Scheduled', 'Unscheduled', and 'Unexpected' options. The main content area is titled 'Scheduled' and contains a grid of data collection cards. The cards are organized into three categories: 'EU-regulated', 'Kartläggning', and 'Nationell'. Each card displays the name of the data collection and its format (e.g., XBRL, JSON_FORM, XML). Red arrows point from the text above to the FI logo in the top left and the user profile icon in the top right of the interface.

DATA COLLECTIONS AND REPORTING MODULES

A data collection in FIDAC can consist of a group of reporting modules, for example the data collection *EBA XBRL reporting* that in turn consists of several reporting modules that are each submitted separately. The data collection *EBA XBRL reporting* is listed in FIDAC's overview and takes the user to all of the institution's reporting obligations for the EBA's reporting modules.

A data collection can also be "one to one" with a reporting module, for example the 'Standardrapport'.

LEFT MENU

The items listed in the left menu are links: *Scheduled* and *Unscheduled*. By clicking on 'Scheduled', the overview shown above is displayed, and the user can then choose from specific data collections. The data collections are

broken down into *EU-reglerad (EU-regulated)*, *Kartläggning (Survey)* and *Nationell (National)* expected submissions.

Clicking on a data collection brings up in the reporting obligations for the selected data collection. The picture below shows what the user will see after clicking on *EBA XBRL reporting*. When *EBA XBRL reporting* has been chosen in the left menu, the reporting modules that belong to *EBA XBRL reporting* and entail reporting obligations for the institute are listed to the right in the Main view.

Click the left header to switch between *EU-regulated*, *Kartläggning* and *National*. Click the right header to switch between data collections.

The screenshot shows the 'Submissions - Scheduled' page. On the left, there is a navigation menu with 'Scheduled', 'Unscheduled', and 'Unexpected' options. The main content area is titled 'Submissions - Scheduled' and features a table of 'EBA XBRL reporting Expected Submissions'. The table includes columns for 'Reporting Module Name', 'Reporting Date', 'Submis...', 'Submission De...', 'Reporting Module Vers...', and 'Submission Timestamp'. A red box highlights the 'EU-regulated' and 'EBA XBRL reporting' tabs. Another red box highlights a row in the table: 'Large Exposures - COREP, Individual' with a reporting date of '06/30/2021'.

Details	Reporting Module Name	Reporting Date	Submis...	Submission De...	Reporting Module Vers...	Submission Timestamp
	Common Reporting - Own Funds and Leverage, Indi...	12/31/2016		05/19/2022	corep_ind_v2.5	05/24/2022 2:12 PM
	Large Exposures - COREP, Individual	09/30/2018		10/21/2020	corep_le_ind_v2.7	11/04/2020 3:35 PM
	Additional Liquidity Monitoring - COREP, Consolidat...	12/31/2019		11/16/2020	corep_alim_con_v2.8.1	08/22/2022 3:29 PM
	Liquidity Coverage - COREP, Individual	12/31/2019		10/21/2020	corep_lcr_ind_v2.8.1	04/12/2022 12:46 PM
	Large Exposures - COREP, Individual	12/31/2019		10/14/2020	corep_le_ind_v2.8.1	06/16/2021 2:23 PM
	Own Funds - COREP, Consolidated	12/31/2019		06/20/2023	corep_of_con_v2.9.1	10/31/2023 2:05 PM
	SBP Credit risk, Consolidated	12/31/2019		10/26/2020	sbp_cr_con_v2.9	10/25/2021 11:49 AM
	Asset Encumbrance, Individual	01/31/2020		03/13/2020	ae_ind_v2.8	
	Large Exposures - COREP, Individual	03/31/2020		10/21/2020	corep_le_ind_v2.8.1	08/22/2022 11:14 AM
	Large Exposures - COREP, Individual	09/30/2020		11/11/2020	corep_le_ind_v2.9.1	
	Large Exposures - COREP, Consolidated	10/31/2020		12/25/2020	corep_le_con_v2.8.1	
	Large Exposures - COREP, Individual	12/31/2020		01/15/2021	corep_le_ind_v2.8.1	
	Resolution, Individual	12/31/2020		07/02/2021	reso_ind_v2.10	01/27/2022 2:00 PM
	Asset Encumbrance, Individual	03/31/2021		04/30/2021	ae_ind_v2.8	
	Asset Encumbrance, Consolidated	06/30/2021		08/01/2021	ae_con_v3.0.1	07/08/2022 7:51 AM
	Asset Encumbrance, Individual	06/30/2021		08/02/2021	ae_ind_v3.0.1	08/18/2021 4:38 PM
	Additional Liquidity Monitoring - COREP, Individual	06/30/2021		07/15/2021	corep_alim_ind_v3.0	06/16/2021 2:17 PM
	Large Exposures - COREP, Individual	06/30/2021		10/21/2021	corep_le_ind_v2.9.1	
	Leverage Ratio - COREP, Individual	06/30/2021		04/06/2022	corep_lr_ind_v3.0	06/28/2022 12:54 PM
	Own Funds - COREP, Individual	06/30/2021		07/21/2021	corep_of_ind_v3.0	10/31/2023 1:10 PM
	Fraudulent Payments	06/30/2021		08/23/2021	psd_frp_v2.10	
	Resolution, Individual	06/30/2021		08/11/2021	reso_ind_v2.10	09/07/2021 8:41 AM
	Resolution, Individual	09/30/2021		11/11/2021	reso_ind_v2.10	
	Asset Encumbrance, Individual	12/31/2021		02/11/2022	ae_ind_v3.0.1	
	Additional Liquidity Monitoring - COREP, Individual	12/31/2021		01/17/2022	corep_alim_ind_v3.0	

The reporting modules are listed by name. For reporting modules that are included in the *EBA XBRL reporting* data collection, the name is the same as the name assigned by the EBA. Example: Large Exposures - COREP, Individual.

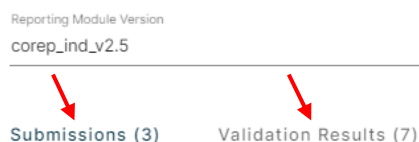
REPORTING OBLIGATIONS

The data collections lists the reporting obligations for the institution(s) on whose behalf the logged-in user is authorised to submit reports. Depending on the choices made in the left menu and then in the overview, the reporting obligations are shown as in the above picture.

The columns can be moved by clicking on them, holding in the mouse button, and moving them to the right or left. Scroll to the right and left to see more columns.

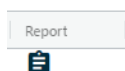
The columns with symbols (*Details*, *Submission Status* and *Report*) are links. *Details* contains more information about the reporting obligation, the submitted report and the validation results. Refer to the *Status and Validation* section for more information.

The *Submission Status* column shows symbols for the status of the reporting obligation. Refer to the *Status and Validation* section for more information about the symbols. Clicking on the symbol will bring the user to the same information that is available under *Details*.



The *Report* column may either be empty or show a clipboard, a pencil, or both a pencil and a clipboard.

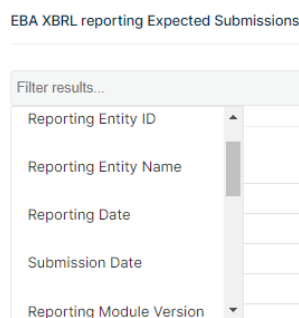
If data has been submitted for a reporting obligation, the *Report* column shows a clipboard. Clicking on the clipboard brings up the submitted data in the Form view. Refer to the chapter *View Submitted Data* for more information.



FILTERING REPORTING OBLIGATIONS

It is possible to filter or search the reporting obligations. This is done in the *Search* field above the reporting obligations in the Main view.

Filters can be applied at single or multiple levels depending on the desired results. Click in the *Search* field to bring up the filter options:

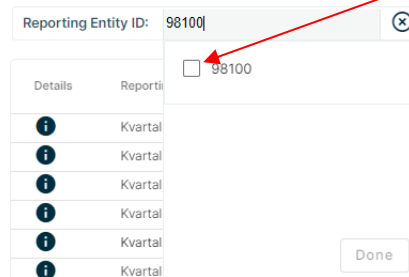


Filter by:

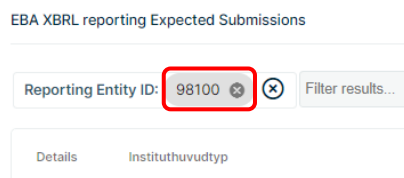
- Reporting Entity ID
- Reporting Entity Name
- Reporting Date
- Submission Date (when the report has been submitted)
- Reporting Module Version
- Reporting Module Name
- Consolidation Scope
- Submission Status
- Active
- Waiver Status
- Type of Reporting Obligation

Choose one of the above alternatives in the drop-down menu. The alternative will be visible next to the *Search* field (see below):

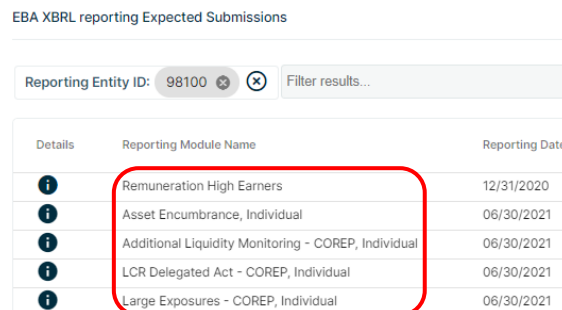
In this case, to search by Reporting Entity ID, enter the reporting entity's ID number and click Enter or click the checkbox for the listed ID.



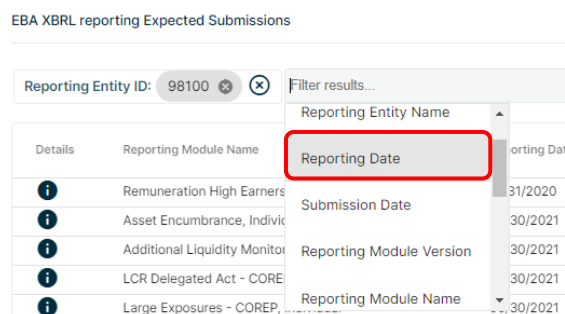
It is possible to enter several ID's, Reporting Module Versions etc. In this example, once the ID's you want to filter on are entered, click Done. The entered ID's will be listed here:



All reporting obligations for the entered ID number will be listed.



For more filter layers, click in the filter field again and choose an option.



All reporting obligations for the entered ID and date, in this example, will be listed:

EBA XBRL reporting Expected Submissions

Reporting Entity ID: 98100 Reporting Date: 12/31/2023

Details	Reporting Module Name	Reporting Date
	Asset Encumbrance	12/31/2023
	Additional Liquidity Monitoring - COREP	12/31/2023
	FRTB - COREP	12/31/2023
	LCR Delegated Act - COREP	12/31/2023
	Large Exposures - COREP	12/31/2023

In this example below, “ae_v3.2” via *Reporting Module Version* is also chosen.

EBA XBRL reporting Expected Submissions

Reporting Entity ID: 98100 Reporting Date: 12/31/2023 Reporting Module Version: ae_v3.2 Filter results...

Details	Reporting Module Name	Reporting Date	Reporting Module Version	Submission De...	Submission Status
	Asset Encumbrance	12/31/2023	ae_v3.2	02/12/2024	

The filter has generated one reporting obligation. It is possible to filter all alternatives on multiple levels in the same way.

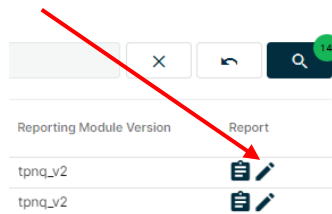
Reporting

The reporting obligations are listed via the left menu and the overview of data collections. Depending on what the institution is obligated to submit, reporting obligations will vary for each data collection.

SUBMISSION BY FORM

It is possible to submit some data collections, for example *Annual Occupational Pension*, by either filling in a form or uploading a file.

To open a reporting module's form, go to the *Report* column and click the symbol that looks like a pencil to open the form.



Only reporting modules that have a form attached to them will have the pencil symbol in the *Report* column. If there is no symbol, it is only possible to upload a report.

Below is an example of what the user will see after clicking on the pencil symbol.

År Tjänstepension - Upplysningar		Text
Valutakod	010	010
Kommentar: resultaträkning [A28]	020	
Kommentar: balansräkning [B51]	030	
Kommentar: specifikation av resultatuppgifter [C73]	040	
Kommentar: Försäkringsbestånd [D36]	050	
Kommentar: aktuariell resultatanalys, resultatanalys [E28]	060	
Kommentar: aktuariell resultatanalys [E78]	070	
Kommentar: försäkringstekniska avsättningar [F18]	080	
Kommentar: resultatanalys återbäringsberäkningar [G48]	090	
Kommentar: solvensuppgifter [H210]	100	
Kommentar: prognoser för framtida kassaflöden [I52]	110	
Kommentar: infrastrukturinvesteringar	120	
Kommentar: gränsöverskridande verksamhet [K63]	130	

The form contains several tabs, which can be seen in the left menu. Each header is expandable, click on each tab under the headers to fill in the data. Data can be entered into the white cells in the form.

In the Form view, there are a few buttons along the bottom.

It is possible to *Upload* a report with data that is then automatically entered into the form. It is also possible to *Download* a report with data that was manually entered into the form. If a user has started the submission process by manually entering data into the form and would like to stop and continue at a later point in time, it is possible to save the entered data by clicking *Download*. When ready to continue, the user then selects *Upload*.

At the bottom right is the *Preview* button (shown in the above picture). In order to move on to the *Report* step, click *Preview*, which pulls up a Preview pane with the entered data. From the Preview pane, submit the data to FI by clicking *Report*.

Filter

- År Tjänstepension/Annual Occupational pension
 - År Tjänstepension - Upplysningar
 - År Tjänstepension - Upplysningar
 - Resultaträkning
 - Balansräkning
 - Specifikation resultatuppgifter
 - Försäkringsbestånd
 - Aktuariell Resultatanalys samt antaganden om Driftskostnad samt garantier och optioner
 - Analys av försäkringstekniska avsättningar
 - Resultatanalys vid tillämpning av återbäringsregler
 - Solvensuppgifter
 - Framtida kassaflöden
 - Infrastrukturinvesteringar
 - Gränsoverskridande verksamhet

Review Report
År Tjänstepension - Upplysningar

Valutakod	Text
010	010
020	SEK
030	
040	
050	
060	
070	
080	
090	
100	
110	
120	
130	

Cancel Back Report

SUBMISSION BY UPLOAD

In addition to submitting by form, it is also possible to upload a report. Some data collections can only be submitted this way. For example, in *EBA* and *EIOPA XBRL reporting*, all reporting modules listed may only be submitted by uploading a report.

To upload a report, click the *Upload Report* button at the top of where the reporting obligations are listed.

Portal

- Scheduled
- Unscheduled
- Unexpected

Reports

Submissions · Scheduled

EU-regulated / EBA XBRL reporting

EBA XBRL reporting Expected Submissions

Reporting Entity ID: 98100 Reporting Date: 12/31/2023 Filter results.

Details	Instituhuvudstyp	Reporting Entity ID	Reporting Entity Name	Reporting Date	Submission Status	Report	Submission Deadline	Reporting Module Name
1	BANK	98100	Testbanken	12/31/2023	✉		02/12/2024	Asset Encumbrance
1	BANK	98100	Testbanken	12/31/2023	✉		01/15/2024	Additional Liquidity Monitoring - COREP
1	BANK	98100	Testbanken	12/31/2023	✉		02/12/2024	FRTB - COREP
1	BANK	98100	Testbanken	12/31/2023	✉		01/15/2024	LGR Delegated Act - COREP
1	BANK	98100	Testbanken	12/31/2023	✉		02/12/2024	Large Exposures - COREP
1	BANK	98100	Testbanken	12/31/2023	✉		02/12/2024	Leverage Ratio - COREP
1	BANK	98100	Testbanken	12/31/2023	✉		02/12/2024	Stable Funding - COREP
1	BANK	98100	Testbanken	12/31/2023	✉		02/12/2024	Own Funds - COREP
1	BANK	98100	Testbanken	12/31/2023	✉		02/21/2024	Fraudulent Payments
1	BANK	98100	Testbanken	12/31/2023	✉		06/17/2024	Remuneration High Earners
1	BANK	98100	Testbanken	12/31/2023	✉		04/30/2024	Resolution

Upload Report

When uploading a report, it is important to first select the correct data collection from the overview. In the above picture, *EBA XBRL reporting* has been selected in the left menu. This can be verified by the heading in the Main view, “EBA XBRL reporting Expected Submissions”. To submit one of the EBA regulation’s modules by uploading a report, click *Upload Report* at the top and attach the file that will be submitted.

After selecting the file for upload, a form will open requesting contact information; enter the name, telephone number and email address. This is the email address that will receive the confirmation email from FIDAC once

the submitted report has been validated. The email will specify if the submitted report has been approved or not. More specific information about validation errors is available in FIDAC. Validation information is shown in the view of reporting obligations in FIDAC; click *Details* or *Submission Status* for the reporting obligation in question. For more information, refer to the section *Status and Validation*.

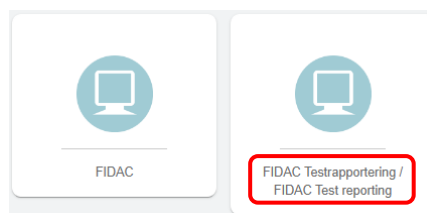
The screenshot shows the 'Submissions - Scheduled' page in the FIDAC Reporting Portal. A 'Fill Form' dialog box is open, allowing the user to enter contact information for report submission. The form fields are: 'Name / Name', 'Telefonnummer / Phone number', and 'Mejadress / Email address*'. Below these is a dropdown menu labeled 'Use values from previous submissions' with 'Select' as the current option. At the bottom right of the form are 'Cancel' and 'Upload Report' buttons. A red arrow points to the 'Upload Report' button.

After filling in the contact information, click *Upload Report* in the bottom right corner of the form. The file has now been submitted, and its format and content will be validated.

The file is first checked to ensure that the format is correct. For EBA XBRL reporting, the format should be .XBRL. For Quarterly Occupational Pension reporting, as an example, the format should be .JSON.

TEST REPORTING

Test reporting has its own module in the Reporting Portal, *Fidac Test reporting*.



In order to test the reporting procedure, click on the module *Fidac Test reporting* and log in. The data collections available for test reporting will be shown in the menu to the left.

The method for test reporting is the same as for live reporting. The difference is that the test report is not sent to FI for analysis.

UNEXPECTED SUBMISSIONS

If a report is uploaded using a file for which there is no reporting obligation that agrees with any of the reporting obligations listed in FIDAC, the report falls under *Unexpected* (Submissions), which is one of the options in the left menu.

A reporting obligation consists of what is to be reported (the specified schema reference in the file), when the report is to be submitted (the specific Reporting Date), and who is the submitting entity (the institute). When a report is uploaded that does not match a reporting obligation, for example a Reporting Date in the report does not match the Reporting Date in the listed reporting obligation in FIDAC, the report falls under *Unexpected*.

The screenshot shows the 'Submissions - Unexpected' view in the FIDAC portal. The left sidebar has 'Unexpected' highlighted. The main area shows a table of submission records for Reporting Entity ID 98100. The table has the following columns: Details, Reporting Entity ID, Submis..., Data Collection ID, Reporting Module Version, Consolidation Scope, Reporting Date, Submission Timestamp, and Submission ID. The table contains 10 rows of data, all with a status of 'Submitted: Invalid' (represented by a red circle with a white exclamation mark).

Details	Reporting Entity ID	Submis...	Data Collection ID	Reporting Module Version	Consolidation Scope	Reporting Date	Submission Timestamp	Submission ID
?	98100	⊘	ebalts	corep_le_ind.v3.0	Individual	06/30/2021	05/18/2021 3:16 PM	2021-0518-09c9-d19f
?	98100	⊘	tpn	tpnq_v1	Individual	06/30/2021	05/19/2021 4:06 PM	2021-0519-916c-aa5b
?	98100	⊘	tpn	tpnq_v1	Individual	09/30/2021	05/21/2021 8:39 AM	2021-0521-0351-2a65
?	98100	⊘	tpn	tpnq_v1	Individual	06/30/2021	05/21/2021 8:38 AM	2021-0521-a22c-487f
?	98100	⊘	ebalts	corep_lcr_le_ind.v3.0	Individual	04/30/2021	06/01/2021 4:02 PM	2021-0601-fa28-49e9
?	98100	⊘	tpn	tpnq_v1	Individual	06/30/2021	06/02/2021 11:36 AM	2021-0602-d427-6862
?	98100	⊘	tpn	tpnq_v1	Individual	06/30/2021	09/09/2021 1:14 PM	2021-0909-eb7e-7100
?	98100	⊘	tpn	tpnq_v1	Individual	06/30/2021	09/09/2021 1:05 PM	2021-0909-eb73-cfe5
?	98100	⊘	tpn	tpnq_v1	Individual	06/30/2021	09/09/2021 1:12 PM	2021-0909-eb76-900f






Click on *Unexpected* to see if there are any reports there. All of the reports that fall under *Unexpected* are assigned the status *Submitted: Invalid* with a specification that there is no matching reporting obligation.

One tip is to look for a confirmation email from FIDAC at the email address specified in the contact information. The email will say whether or not the report is valid. If there is no email, this could mean that the report is listed under *Unexpected* since it is not considered to be valid.

STATUS AND VALIDATION

A reporting obligation can be assigned different statuses depending on what has been submitted and whether the report is valid or invalid. Status is also dependent on whether the submission date for the reporting obligation has passed. Hold the cursor over the symbol to see an explanatory text for each symbol.

The following is the list of status symbols for a reporting obligation:

-  Not submitted
-  Overdue
-  Submitted: Processing
-  Submitted: Valid
-  Submitted: Invalid

Click on the status symbol to see more information about the report in question. The same information is also available by clicking on the *Details* symbol (the *i* symbol to the far left) for the reporting obligation in question.

It is possible to download the submitted report from the detailed information (under the heading *Submissions*) and to see details about the validation (*Validation Results*).

To expand the view for the information shown at the bottom, for example *Validation Results*, click on the heading circled at the top.

The view below shows the validation results.

The information about validation errors is shown in several columns. The first column shows the type of error that stopped the file, followed by a message about the validation error. Specific details about the error, which validation rule and validation type applies, and the validation formula are then shown.


Close this view and submit a revised file for the same reporting obligation and wait for the validation results.

AMENDING A SUBMISSION

It is fully possible to amend all reporting obligations. For the reporting modules available by submitting both via form and uploading a file, amending is possible in both cases. For the reporting modules only available by submitting a file, it is only possible to amend with an updated file.

Form

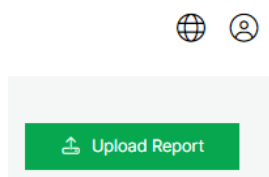
A submitted reporting obligations that is to be amended via form; open the form with the pencil icon and amend the information. The information that is not to be amended have to be left as is and not removed. It is always the latest submitted version that is the current one, if for example a number is removed in an amended submission, that number will no longer be considered as submitted.

Report	Submission Deadline	Submission Timestamp
	08/12/2021	02/01/2022 1:45 PM

When the information is amended, submit the report and check the Submission Status column. The column Submission Timestamp shows the date for the latest submission for the reporting obligations.

File

A submitted reporting obligation that is to be amended via file submission; amend the information in the file and upload the updated file.



Check the Submission Status column once the file has been uploaded. The column Submission Timestamp shows the date for the latest submission for the reporting obligations. See the above picture under Form.

The default setting shows text and figures for all cells.

EBA XBRL reporting C 00.01 Nature of Report (COREP)

<input type="checkbox"/> XBRL Dimensions On/Off <input type="button" value="Export"/> <input checked="" type="checkbox"/> Filing Indicator: positive		
		Nature of Report
		0010
Accounting framework	0010	National GAAP
Reporting Level	0020	Individual

Select 'XBRL Dimensions On/Off' to show code references for the cells in order to easier identify the content in the file.

EBA XBRL reporting C 00.01 Nature of Report (COREP)

<input checked="" type="checkbox"/> XBRL Dimensions On/Off <input type="button" value="Export"/> <input checked="" type="checkbox"/> Filing Indicator: positive		
		Nature of Report
		0010
Accounting framework	0010	EBA METE14 BASX17
Reporting Level	0020	EBA METE1207 BASX17

Choose 'Export' to download the information to an Excel och CSV file.

For questions about these instructions, please contact rapportering@fi.se



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