

# Finansinspektionen's Regulatory Code

Publisher: Finansinspektionen, Sweden, www.fi.se  
ISSN 1102-7460



This translation is furnished for information purposes only and is not itself a legal document.

**FFFS 2009:10**

Published on  
18 December 2009

## **Regulations regarding amendments to Finansinspektionen's Regulations (FFFS 2007:16) regarding securities business;**

decided on 11 December 2009.

Finansinspektionen prescribes the following pursuant to Chapter 6, section 1, subsection 38 of the Securities Market Ordinance (2007:572) with regard to Finansinspektionen's Regulations (FFFS 2007:16) regarding securities business

*in part* that Chapter 22, section 1 shall have the following wording,  
*in part* that a new section shall be introduced, Chapter 22, section 3, with the following wording.

### **Chapter 22.**

**Section 1** The provisions in this chapter apply to such transactions as defined in Chapter 10, section 3 of the Securities Market Act (2007:528).

**Section 3** The obligation to report as defined in Chapter 10, section 3 of the Securities Market Act shall also apply with regard to transactions which refer to:

1. financial instruments which are admitted to trading on a MTF, and
2. derivatives which have not been admitted to trading on a regulated market, but which have financial instruments, admitted to trading on a regulated market, as an underlying asset.

---

These regulations shall enter into force, with regard to Chapter 22, section 3, subsection 2, on 1 November 2010, and otherwise on 1 March 2010.

MARTIN ANDERSSON

Jesper Dahllöf