

## Article 23(1) AIFMD Checklist

AIFM name:

AIF Name

<b>Article 23 (1) AIFMD, Information Requirement Table.</b>	<b>Section of the Information Memorandum</b>
The AIFM will make available to investors the following information before they invest in the AIF, as well as any material changes thereof:	
<b>23(1)(a)</b> <ul style="list-style-type: none"><li>- a description of investment strategies and objectives of the AIF</li><li>- information on where any master AIF is established</li><li>- if the AIF is a fund of funds, information on where underlying funds are established</li><li>- a description of the types of assets in which the AIF may invest,</li><li>- the techniques the AIF may employ and all associated risks</li><li>- any applicable investment restrictions</li><li>- the circumstances in which the AIF may use leverage</li><li>- the types and sources of leverage permitted and the associated risks</li><li>- any restrictions on the use of leverage</li></ul>	

<ul style="list-style-type: none"><li>- any collateral and asset reuse arrangements</li><li>- maximum level of leverage which the AIFM are entitled to employ on behalf of the AIF;</li></ul>	
<p><b>23(1)(b)</b></p> <ul style="list-style-type: none"><li>- a description of the procedures by which the AIF may change its investment strategy or investment policy, or both;</li></ul>	
<p><b>23(1)(c)</b></p> <ul style="list-style-type: none"><li>- a description of the main legal implications of the contractual relationship entered into for the purpose of investment, including information on jurisdiction, on the applicable law and on the existence or not of any legal instruments providing for the recognition and enforcement of judgments in the territory where the AIF is established;</li></ul>	
<p><b>23(1)(d)</b></p> <ul style="list-style-type: none"><li>- the identity of the AIFM, and a description of its duties</li><li>- the identity of the AIF's depository and a description of its duties</li><li>- the identity of the auditor, and a description of its duties</li><li>- the identity of any other service provider and a description of its duties</li><li>- investors rights (against all service providers, including AIFM);</li></ul>	

<p><b>23(1)(e)</b></p> <p>- a description of how the AIFM is complying with the requirements of Article 9(7);</p>	
<p><b>23(1)(f)</b></p> <p>- a description of any delegated management function as referred to in Annex I by the AIFM</p> <p>- a description of any safekeeping function delegated by the depositary, the identification of the delegate and any conflicts of interests that may arise from such delegations;</p>	
<p><b>23(1)(g)</b></p> <p>- a description of the AIF's valuation procedure and of the pricing methodology for valuing assets, including the methods used in valuing hard-to-value assets in accordance with Article 19;</p>	
<p><b>23(1)(h)</b></p> <p>- a description of the AIF's liquidity risk management, including the redemption rights both in normal and exceptional circumstances, and the existing redemption arrangements with investors;</p>	
<p><b>23(1)(i)</b></p> <p>- a description of all fees, charges and expenses and of the maximum amounts thereof which are directly or indirectly borne by investors;</p>	

<p><b>23(1)(j)</b></p> <p>- a description of how the AIFM ensures a fair treatment of investors and, whenever an investor obtains preferential treatment or the right to obtain preferential treatment, a description of that preferential treatment, the type of investors who obtain such preferential treatment and, where relevant, their legal or economic links with the AIF or AIFM;</p>	
<p><b>23(1)(k)</b></p> <p>- the latest annual report referred to in Article 22;</p>	
<p><b>23(1)(l)</b></p> <p>- the procedure and conditions for the issue and sale of units or shares;</p>	
<p><b>23(1)(m)</b></p> <p>- the latest net asset value of the AIF or the latest market price of the unit or share of the AIF, in accordance with Article 19;</p>	
<p><b>23(1)(n)</b></p> <p>- where available, the historical performance of the AIF;</p>	
<p><b>23(1)(o)</b></p> <p>- the identity of the prime broker and a description of any material arrangements of the AIF with its prime brokers and the way the conflicts of interest in relation thereto are managed; and</p> <p>- the provision in the contract with the depositary on the possibility of transfer and reuse of AIF assets, and information about any transfer of</p>	

liability to the prime broker that may exist;	
<b>23(1)(p)</b> - a description of how and when the information required under 23(4) and 23(5) will be disclosed.	