## EN



_		a on supervisory measures and administrative penalties <sup>(1)</sup> (year XXXX)	
		Supervisory measures	d
	Credit ins	titutions	
010		Total number of supervisory measures taken in accordance with Article 104(1) of Directive 2013/36/EU:	[V
011 012	-	to hold own funds in excess of the minimum capital requirements [Article 104(1)(a)] to reinforce governance arrangements and internal capital management [Article 104(1)(b)]	[V [V
013	Supervisory	to present a plan to restore compliance with supervisory requirements [Article 104(1)(c)]	(V (V
015	measures	to apply a specific provisioning policy or treatment of assets [Article 104(1)(d)] to restrict/limit business or activities [Article 104(1)(e)]	[V
016	taken in accordance	to reduce the risk inherent in the activities, products and systems [Article 104(1)(f)] to limit variable remuneration [Article 104(1)(g)]	(V (V
018	with Article	to strengthen own funds by using net profits [Article 104(1)(h)] to restrict/prohibit distributions or interest payments [Article 104(1)(i)]	(V (V
020	102(1)(a)	to impose additional or more frequent reporting requirements [Article 104(1)(j)]	(v
021	-	to impose specific liquidity requirements [Article 104(1)(k)] to impose additional disclosure requirements [Article 104(1)(l)]	[V
023		Number and nature of other supervisory measures taken (not listed in Article 104(1) of Directive	ίv
024		2013/36/EU) Total sumbar of sume sizes and below in considering with Article 104/11 of Disability 2012/26 (EU)	
024	Supervisory measures	Total number of supervisory measures taken in accordance with Article 104(1) of Directive 2013/36/EU: to hold own funds in excess of the minimum capital requirements [Article 104(1)(a)]	[V [V
026	taken in accordance	to reinforce governance arrangements and internal capital management [Article 104(1)(b)]	[V
027 028	with Article	to present a plan to restore compliance with supervisory requirements [Article 104(1)(c)] to apply a specific provisioning policy or treatment of assets [Article 104(1)(d)]	[V [V
029 030	102(1)(b)	to restrict/limit business or activities [Article 104(1)(e)]	[V
031	and other provisions	to reduce the risk inherent in the activities, products and systems [Article 104(1)(f)] to limit variable remuneration [Article 104(1)(g)]	(V (V
032	of Directive 2013/36/EU	to strengthen own funds by using net profits [Article 104(1)(h)] to restrict/prohibit distributions or interest payments [Article 104(1)(i)]	(V (V
034	or	to impose additional or more frequent reporting requirements [Article 104(1)(j)]	(v
035 036	Regulation (EU) No	to impose specific liquidity requirements [Article 104(1)(k)] to impose additional disclosure requirements [Article 104(1)(l)]	(V (V
037	575/2013	Number and nature of other supervisory measures taken (not listed in Article 104(1) of Directive 2013/36/EU)	[V
	Investme	Supervisory measures	d
037	Investine	Total number of supervisory measures taken in accordance with Article 104(1) of Directive 2013/36/EU:	(V
038	-	to hold own funds in excess of the minimum capital requirements [Article 104(1)(a)] to reinforce governance arrangements and internal capital management [Article 104(1)(b)]	[V [V
040	Supervisory	to present a plan to restore compliance with supervisory requirements [Article 104(1)(c)]	(V
041 042	measures	to apply a specific provisioning policy or treatment of assets [Article 104(1)(d)] to restrict/limit business or activities [Article 104(1)(e)]	(V (V
043	taken in accordance	to reduce the risk inherent in the activities, products and systems [Article 104(1)(f)] to limit variable remuneration [Article 104(1)(g)]	(V (V
045	with Article	to strengthen own funds by using net profits [Article 104(1)(h)]	(v
046 047	102(1)(a)	to restrict/prohibit distributions or interest payments [Article 104(1)(i)] to impose additional or more frequent reporting requirements [Article 104(1)(j)]	[V [V
048		to impose specific liquidity requirements [Article 104(1)(k)]	[V [V
050	Supervisory	to impose additional disclosure requirements [Article 104(1)(I)] Number and nature of other supervisory measures taken (not listed in Article 104(1) of Directive	[V
051	measures	Total number of supervisory measures taken in accordance with Article 104(1) of Directive 2013/36/EU: to hold own funds in excess of the minimum capital requirements [Article 104(1)(a)]	(V (V
053	taken in accordance	to reinforce governance arrangements and internal capital management [Article 104(1)(b)]	[v [v
055	with Article	to present a plan to restore compliance with supervisory requirements [Article 104(1)(c)] to apply a specific provisioning policy or treatment of assets [Article 104(1)(d)]	[V
056	102(1)(b) and other	to restrict/limit business or activities [Article 104(1)(e)] to reduce the risk inherent in the activities, products and systems [Article 104(1)(f)]	[V
058	provisions	to limit variable remuneration [Article 104(1)(q)] to strengthen own funds by using net profits [Article 104(1)(h)]	(V
	of Directive 2013/36/EU	to restrict/prohibit distributions or interest payments [Article 104(1)(i)]	(V (V
060		to impose additional or more frequent reporting requirements [Article 104(1)(j)]	
060 061	or	to impose specific liquidity requirements [Article 104(1)(k)]	[V
060 061 062 063	or Regulation	to impose specific liquidity requirements [Article 104(1)(k)] to impose additional disclosure requirements [Article 104(1)(l)]	[V [V [V
060 061 062	or	to impose specific liquidity requirements [Article 104(1)(k)] to impose additional disclosure requirements [Article 104(1)(l)] Number and nature of other supervisory measures taken (not listed in Article 104(1) of Directive	
060 061 062 063	or Regulation	to impose specific liquidity requirements [Article 104(1)(k)) to impose additional disclosure requirements [Article 104(1)(0)] Number and nature of other supervisory measures taken (not listed in Article 104(1) of Directive Administrative penalties <sup>(2)</sup>	[V [V [V [V
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060 061 062 063 064 065 065 066	or Regulation (EU) No 57E/2012 Credit institut Administrati ve penalties of authorisation / acquisitions	to impose specific liquidity requirements [Article 104(1)(k)] to impose additional disclosure requirements [Article 104(1)(k)] Number and nature of other supervisory measures taken (not listed in Article 104(1) of Directive Administrative penalties <sup>(2)</sup> tutions Total number of administrative penalties from Article 66(2) of Directive 2013/36/EU applied: public statements identifying the natura/legal person responsible and the nature of the breach [Article 66/21(a)] order requiring the natura/legal person responsible to cease the conduct and to desist from a repetition of that conduct [Article 66/21(b)] administrative pecuniary penalties imposed on legal/natural person [points (c) to (e) of Article 66(2)] supersoins of the votion rights of shareholders (Article 66 (2)[f)]	
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Competent authorities shall not disclose supervisory actions or decisions directed at specific institutions. When publishing information on the general criteria and methodologies, competent authorities shall not disclose any supervisory measures directed at specific institutions, whether taken with respect to a single institution or to a group of institutions. (1) Information shall be reported based on the date of decision. Due to differences in national resultations are wall as inspervisory practices and approaches across the competent authorities the figures provided in this table might not allow for a meaningful comparison between jurisdictions. Any conclusions without carefully considering these efficiences can be instituation.