

Finansinspektionen's Regulatory Code

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Regulations

amending Finansinspektionen's Regulations (FFFS 2002:11) concerning the obligation to submit information of trading in certain financial instruments by electronic means;

decided on 14 December 2021.

Finansinspektionen prescribes pursuant to Chapter 6, section 1, point 61 of the Securities Market Ordinance (2007:572) in respect of Finansinspektionen's regulations (FFFS 2002:11) concerning the obligation to submit information of trading in certain financial instruments by electronic means

in part that sections 5 and 6 shall be repealed,
in part that sections 1 and 2 shall have the following wording.

Section 1 These regulations govern the obligation to submit to Finansinspektionen by electronic means information of trading in financial instruments.

The right of Finansinspektionen to obtain such information is based on Chapter 6, section 1a of the Financial Instruments Trading Act (1991:980).

Section 2 These regulations apply to undertakings that

1. participate in trading on a regulated market pursuant to Chapter 14 of the Securities Market Act (2007:528), or
2. conduct investment services and activities pursuant to Chapter 2, section 1; Chapter 4, section 1; or Chapter 4, section 4 of the Securities Market Act.

For such transactions as specified in Article 26 of Regulation (EU) No 600/2014 of the European Parliament and of the Council of 15 May 2014 on markets in financial instruments and amending Regulation (EU) No 648/2012, and which pertain to financial instruments admitted to trade or traded on a regulated market or trading venue, Chapter 9, section 1 of Finansinspektionen's regulations (FFFS 2017:2) regarding investment services and activities applies.

These regulations shall enter into force on 01 January 2022.

ERIK THEDÉEN

Peter Albrecht

FFFS 2021:36

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