
**Regulations
amending Finansinspektionen's regulations (FFFS 2013:10)
regarding managers of alternative investment funds;**

FFFS 2015:4
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decided 22/06/2015.

Finansinspektionen prescribes¹ pursuant to section 4, point 6 and section 5, points 1 and 19 of the Managers of Alternative Investment Funds Ordinance (2013:587) that Chapter 6, sections 1 and 2 and Chapter 10, section 1 of Finansinspektionen's regulations (FFFS 2013:10) regarding managers of alternative investment funds shall have the following wording.

Chapter 6

Section 1 The provisions of this chapter apply to an AIF manager with authorisation in accordance with Chapter 3, sections 1 and 2 of the Alternative Investment Fund Managers Act (2013:561) who intend to conduct business in other countries in accordance with Chapter 6 of the same act.

Section 2 Chapter 6, section 1 of the Alternative Investment Fund Managers Act (2013:561) contains provisions regarding the content of a notification to Finansinspektionen regarding the management of an alternative investment fund or discretionary management of investment portfolios and other ancillary services in another EEA country.

Chapter 10

Section 1 An AIF manager engaging in discretionary management of investment portfolios, in its management and when providing other services pursuant to Chapter 3, section 2 of the Alternative Investment Fund Managers Act (2013:561), shall apply the provisions set out in Chapter 6, sections 1–5, section 6, section 7, first paragraph, section 12, first paragraph and second paragraph, points 2 and 4, sections 13 and 14, Chapters 7–17, Chapter 19, Chapter 20, sections 1–10 and Chapter 21, sections 1 and 2 of Finansinspektionen's regulations (FFFS 2007:16) regarding investment services and activities.

These regulations shall enter into force on 03/07/2015.

¹ Cf. Directive 2011/61/EU of the European Parliament and of the Council of 8 June 2011 on managers of alternative investment funds and amending Directives 2003/41/EC and 2009/65/EC and Regulations (EC) No 1060/2009 and (EU) No 1095/2010, in the wording in accordance with Directive 2014/65/EU of the European Parliament and of the Council.

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