

Finansinspektionen's Regulatory Code

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This translation is furnished for information purposes only and is not itself a legal document.

Regulations amending Finansinspektionen's regulations and general guidelines (FFFS 2014:4) regarding the management of operational risks;

FFFS 2017:9

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decided on 26 June 2017.

Finansinspektionen prescribes pursuant to Chapter 5, section 2, point 5 of the Banking and Financing Business Ordinance (2004:329) that Chapter 1, section 5 and Chapter 2, section 3 of Finansinspektionen's regulations and general guidelines (FFFS 2014:4) regarding the management of operational risks shall have the following wording.

Chapter 1

Section 5 The same definitions are used in these regulations and general guidelines as in Chapter 1, section 3 of Finansinspektionen's regulations and general guidelines (FFFS 2014:1) regarding governance, risk management and control at credit institutions and Finansinspektionen's regulations (FFFS 2017:2) regarding investment services and activities, unless otherwise specified.

Chapter 2

Section 3 Provisions regarding outsourcing agreements are set out in Articles 30–32 of the delegated regulation for MiFID 2, and Chapter 10 of Finansinspektionen's regulations and general guidelines (FFFS 2014:1) regarding governance, risk management and control at credit institutions.

These regulations shall enter into force on 3 January 2018.

ERIK THEDÉEN

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